

Patent
Atty. Docket: J118-129 US

CERTIFICATE OF MAILING

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Phaenithyna Miranda
Phaenithyna Miranda
Dated: July 18, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mario Cazzaniga
Serial No. : ~~10/766,595~~ 10/766,595
Filing Date : January 27, 2004
Examiner : Devin J. Hanan
Group Art Unit : 3745
For : Rotor Cooling Pumps, In Particular For Marine Engines And Relevant Manufacturing Process

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ Under 37 C.F.R. 1.129(a), or
(First/Second Submission after Final Rejection)
- ☐ Under 37 C.F.R. 1.97(b), or
(Within any one of the following time periods: three (3) months of filing national application, other than a CPA, or date of entry of the national stage in an international application; or before the mailing date of a first official action on the merits in a non-provisional application, including a CPA; or a Request for Continued Examination (RCE))
- ☒ Under 37 C.F.R. 1.97(c), together with either:
- ☐ Statement under 37 C.F.R. 1.97(e), as checked below, or
- ☒ Fee of \$180.00 under 37 C.F.R. 1.17(p), or
(After the 37 C.F.R. 1.97(b) time period, but before Final Office Action or Notice of Allowance, whichever issues first)
- ☐ Under 37 C.F.R. 1.97(d), together with:
- ☐ Statement under 37 C.F.R. 1.97(e), as checked below, and
- ☐ Fee of \$180.00 under 37 C.F.R. 1.17(p), or

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(Filed after Final Office Action or Notice of Allowance, whichever issues first,
but on or before payment of Issue Fee)

☐ Under 37 C.F.R. 1.97 (i):

Applicant respectfully requests that the I.D.S. and cited reference(s) be placed in the application file wrapper (Filed after payment of Issue Fee).

Statement Under 37 C.F.R. 1.97(e):

☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement; or

☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three (3) months prior to the filing of this Information Disclosure Statement.

Statement Under 37 C.F.R. 1.704 (d) - (Patent Term Adjustment):

(Applies to original applications, other than designs, filed on or after May 29, 2000)

☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty (30) days prior to the filing of the Information Disclosure Statement.

Enclosed Herewith is Form PTO-1449, and:

☒ Copies of the cited U.S. references are not enclosed pursuant to the waiver of the requirements under 37 CFR 1.98 (a)(2)(i).

☐ Copies of the cited references are enclosed, except those entered in prior application, U.S. Serial No. _____, to which priority under 35 U.S.C. 120 is claimed (The earlier application contains copies of the cited references).

☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

☐ The "concise explanation" requirement (non-English reference) for Reference(s) _____ under 37 C.F.R. 1.98(a)(3) is satisfied by:

☐ the explanation provided on the attached sheet;

☐ the explanation provided in the specification;

☒ submission of the enclosed International Search Report; or

☒ the enclosed English language Abstract.

☐ Applicant requests that the following pending applications be considered:

U.S. Patent/Application No. _____, filed/issued _____

U.S. Patent/Application No. _____, filed/issued _____

U.S. Patent/Application No. _____, filed/issued _____

Examiner

Date

- ☐ A copy of each above-cited application, including the current claims, is enclosed.
- ☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application Serial No. _____, to which priority under 35 U.S.C. 120 is claimed.


The Examiner is respectfully requested to return a copy of the above list of pending applications indicating which references were considered with the next Official Action.

Further, it is respectfully requested that the information disclosed herein be made of record in this application.

Method of Payment:

- ☐ No fee is required.
- ☒ A check for the fee noted above is enclosed.
- ☐ Please charge our deposit account No. 14-1431.
- ☒ The Commissioner is authorized to charge any deficiency in payment of fees and credit any overpayment to our Deposit Account No. 14-1431.

Respectfully submitted,


Yan Glickberg
Reg. No. 51,742
Attorney for Applicant(s)
Phone: 845-359-7700

Dated: July 18, 2005

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PTO/SB/08A (08-03)

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Complete if Known

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 2

Application Number	10/766-505 10/766,595
Filing Date	January 27, 2004
First Named Inventor	Mario Cazzaniga
Art Unit	3745
Examiner Name	Devin J. Hanan
Attorney Docket Number	J118-129 US

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 4 178 837	01-12-98	Frazzell Michael E	
	AB	US- 4 512 720	04-23-85	Cholakis Peter N	
	AC	US- 3 053 190	09-11-62	Carlson George E	
	AD	US- 4 592 733	06-03-86	Bland Gerald F	
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁴
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	AE	EP 0103720	03-28-84	ITT		
	AF	EP 0349120	01-03-90	Bridgestone		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO

(Use as many sheets as necessary)

Complete if Known

Application Number	10/766,505 10/766,595
Filing Date	January 27, 3004
First Named Inventor	Mario Cazzaniga
Art Unit	3745
Examiner Name	Devin J. Hanan
Attorney Docket Number	J118-129 US

Sheet 2 of 2

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**Examiner
Signature**

Date Considered

This collection of information is required by 37 CFR 1.58. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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